

C V
M O

Mamo TCV Advocates
Palazzo Pietro Stiges,
103, Strait Street,
Valletta VLT1436,
Malta

mamotcv.com

Continuous Professional Training

NOVEMBER - DECEMBER 2022

+356 2540 3000
info@mamotcv.com

MAMO TCV
ADVOCATES

1. OBJECTIVE

This training is designed for those involved in insurance distribution activities, specifically in those activities which relate to:

- distribution activities which directly affect insureds and potential insureds and where individuals deal directly with insureds or potential insureds with a view to arranging a policy of insurance (whether on an advised or non-advised basis).
- advising on, proposing, or carrying out other work preparatory to the conclusion of contracts of insurance, of concluding such contracts, or of assisting in the administration and performance of such contracts, in particular in the event of a claim.

2. TARGET AUDIENCE

This course is suitable for those acting or intending to:

- (a) apply for registration in the Brokers Register/Agents Register in order to be responsible for the carrying out of (re)insurance distribution activities;
- (b) all relevant employees/persons directly involved in the carrying out of the (re)insurance distribution activities and of a person enrolled in the Brokers List/Agents List; and
- (c) tied insurance intermediaries and ancillary insurance intermediaries.

3. CERTIFICATION

This training meets the Knowledge and Ability requirements set out in Chapter 6 on Knowledge and Ability in Part B of the Insurance Distribution Rules issued by the Malta Financial Services Authority.

The training also qualifies as a Structured CPD Training required under Chapter 7 on Continuous Professional Development in Part B of the Insurance Distribution Rules issued by the Malta Financial Services Authority. An optional assessment shall be carried out following the delivery of each training session.

A certificate of attendance will be issued to all participants.

4. DURATION AND DELIVERY

The course consists of 7 sessions with a specific number of hours being allocated to each session which shall not exceed 2 hours for each session.

The sessions shall be held online via MStTeams.

All sessions will be held at 14:00 CEST.

5. COURSE LECTURERS

We commit to provide experienced individuals to deliver the lectures in accordance with their respective area of expertise.

6. TRAINING SCHEDULE

	Topic	Duration	Presenter	Date
1.	Complaints Handling, Distance Selling, Compensation Schemes <ul style="list-style-type: none">Complaints handling and the function of the Office of the Arbiter of Financial Services;Distance Selling (Retail Financial Services) Regulations;Protection and Compensation Fund Regulations – Insolvent insurers.	1.5-2hrs	Dr. Veronica Grixti and Mr Claudio Xerri	9 November 2022
2.	Recent Regulatory Updates <ul style="list-style-type: none">Motor Insurance DirectiveEU Recovery and Resolution DirectiveSustainable Finance Other Insurance Regulatory Updates	2hrs	Dr. Christine Calleja, Dr. Petra Attard, Mr. Claudio Xerri and Ms Lara Falzon	17 November 2022
3.	Data Protection made simple Dos and Don'ts Record Keeping	1.5 hrs	Dr Claude Micallef Grimaud and Dr. Warren Ciantar	22 November 2022
4.	The sales process – General business insurance <ul style="list-style-type: none">Information disclosure requirementsThe Insurance Product Information Document (“IPID”)Disclosure mediumDemands and needsPOG	2hrs	Mr Claudio Xerri and Ms. Lara Falzon	25 November 2022
5.	Financial Competency This session will provide an overview A relevant person and a relevant employee shall possess the minimum necessary financial competency. This shall	1.5-2hrs	Ms. Jessica Stivala	29 November 2022

	include the appropriate skills and knowledge to demonstrate a level of financial knowledge which is relevant to the role being performed by the relevant person and the relevant employee IFRS 17			
6.	The sales process - Life insurance <ul style="list-style-type: none"> Information disclosure requirements The Key Information Document (“KID”) for packaged retail and insurance-based investment products (PRIIPs) Advice and non-advice Demands and needs Appropriateness/suitability assessment EIOPA guidance on integration of customer sustainability preferences in suitability assessment 	2hrs	Mr Claudio Xerri and Ms. Lara Falzon	5 December 2022
7	AML Update General overview of the: <ul style="list-style-type: none"> Anti-money laundering and the FIAU Implementing Procedures Sanction screening Client onboarding 	1.5hrs	Dr. Edmond Zammit Laferla	14 December 2022

7. Registration Fee

Registration fee is set at 45 EUR (incl. VAT) per participant per session.

8. Contacts

In case of any further queries, kindly contact Dr Petra Attard (petra.attard@mamotcv.com) or Mr Claudio Xerri (claudio.xerri@mamotcv.com)

© Mamo TCV Advocates. October 2022.